MARKET	•	Application	n Number	09/839,529	. 5.4
TRANSMITTAL FORM (to be used for all correspondence after initial filling)		Filing Dat	e	April 20, 2001	
		First Nam	ed Inventor	Hausman	1
		Group Art Unit		3628	
		Examiner	Name	Not Yet Assigned	1
otal Number of Pages in This Submission	1	Attorney D	ocket Number	3524/33	Ž
	ENCL	OSURES (che	ck all that apply)		
Fee Transmittal Form		ment Papers Application)		After Allowance Group	Communication to
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Amendment / Response Declara		•	or Design Patent	Appeal Commun (Appeal Notice, Br	nication to Group rief, Reply Briefi
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 		n to Convert to ional Applicati		Status Letter	AUG 0-6
Extension of Time Request	☐ Power	of Attorney		Other Enclosure	
Express Abandonment Request		rminal Disclaimer		- IDS Form PTO-1449; - Copies of cited references; and	
Reques		est for Refund		1	cited references, and ceipt postcard.
Information Disclosure Statement	CD, No	umber of CD(s)		
Certified Copy of Priority Document(s)	Rema	rks C	ustomer N	lo 29858	
Response to Missing Parts/ Incomplete Application					
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNA	TURE OF	APPLICANT	, ATTORNEY, O	R AGENT	
or Matthew J. Marqui	ardt, Reg. No	o. 40,997			
Signature					
Date July 30, 2003					
	CE	RTIFICATE	OF MAILING		

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Attorney Docket No.: 3524/33

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

RECEIVED

Hausman

Art Unit:

AUG 0 6 2003 GROUP 3600 3628

Application No:

09/839,529

Examiner:

Not Yet Assigned

Filed: April 20, 2001

For:

Price Change of Orders from Reserve

in an Electronic Trading System

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SECOND INFORMATION DISCLOSURE STATEMENT

Applicant respectfully submits herewith an Information Disclosure Statement (IDS), an Information Disclosure Citation List, and a copy of each document listed therein. The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

Several items listed in the attached citation list were first cited in a counterpart PCT international patent application. A copy of the International Search Report in which those items were first cited is enclosed.

Item B1 is a PCT international patent application claiming priority from U.S. Patent Application No. 09/584,045, a copy of which is enclosed. Neither item is considered prior art to this application. Both are co-pending applications commonly owned by the same Applicant.

This IDS is being filed before the mailing date of a first office action on the merits and thus no fee is due. The Commissioner is hereby authorized to charge any deficiency, or to credit any overpayment, to the undersigned attorney's Deposit Account No. 02-4270.

PATENT

Attorney Docket No.: 3524/33

I hereby certify that this paper, together with any document referred to as attached or enclosed, is being deposited this date with the U.S. Postal Service with sufficient postage as First Class Mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Respectfully submitted,

Dated: July 30, 2003

Matthew J. Marquardt, Reg. No. 40,997

Attorney for Applicant

BROWN RAYSMAN MILLSTEIN

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900 Third Avenue

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(212) 895-2000

PTO/SB/08a (05-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complet if Known

Application Number 09/839,529

Filling Date April 20, 2001

First Named Inventor Hausman, Andrew

Art Unit 3628

Examiner Name Not Yet Assigned

Attorney Docket Number |3524/33

(Use as many sheets as necessary)
Sheet 1 of 1

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	A1	^{US-} 5,136,501	08/04/1992	Silverman, et al.		
	A2	^{US-} 6,243,691 B1	06/05/1991	Fisher, et al.		
	A3	^{US-} 6,321,212 B1	11/20/2001	Lange		
	A4	^{US-} 6,442,258 B1	08/27/2002	Mashinsky		
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Nind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	B1	WO 01/16830	03/08/2001	Hausman, et al.		

Examiner Signature	Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.